



**Summary of the Decisions Taken at the Meeting  
of Accounts, Audit and Risk Committee held on 15 November 2023**

<b>Agenda Item No.</b>	<b>Agenda Item</b>	<b>Decision</b>
<b>6</b>	<p><b>Performance, Finance and Risk Monitoring Report</b></p> <p>Report of Assistant Director – Customer Focus</p> <p><b>Purpose of report</b></p> <p>To update the committee on how well the council is managing its Strategic Risks.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended:</p> <p>1.1 To note the Risk Monitoring Report for September 2023.</p> <p>1.2 To note the Risk Management Strategy 2023 – 24 (appendix 2)</p>	<p><b>Resolved</b></p> <p>(1) That the Risk Monitoring Report for September 2023 be noted.</p>
<b>7</b>	<p><b>Update on Counter Fraud Annual Plan 2023/24</b></p> <p>Report of the Assistant Director of Finance and S151 Officer</p> <p><b>Purpose of report</b></p> <p>This report presents a summary of activity against the Annual Plan for the Counter-Fraud service at CDC for 2023/24, which was previously presented to the Accounts, Audit &amp; Risk July 2023 committee. The Plan supports the Council’s Anti-Fraud and Corruption Strategy by ensuring that</p>	<p><b>Resolved</b></p> <p>(1) That the summary of activity against the Annual Counter Fraud Plan for 2023/24 be noted.</p>

Agenda Item No.	Agenda Item	Decision
	<p>the Council has in place proportionate and effective resources and controls to prevent and detect fraud as well as investigate those matters that do arise.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended to:</p> <p>1.1 Comment and note the summary of activity against the Annual Counter Fraud Plan for 2023/24.</p>	
8	<p><b>External Audit Progress 2021/22</b></p> <p>Verbal update by Ernst &amp; Young</p>	<p><b>Resolved</b></p> <p>(1) That the verbal update be noted.</p>
9	<p><b>Treasury Management Mid Year Review</b></p> <p>Report of the Assistant Director of Finance</p> <p><b>Purpose of report</b></p> <p>To receive information on treasury management performance and compliance with treasury management policy for 2023-24 as required by the Treasury Management Code of Practice.</p> <p>All treasury management activities undertaken to date during the financial year 2023-24 complied with the CIPFA Code of Practice and the Council's approved Treasury Management Strategy, and all Prudential Indicators were met during the reporting period.</p> <p>Over the course of the year, there is an overall Treasury Management forecast underspend of £1.214m compared to the approved budget.</p> <p><b>Recommendations</b></p>	<p><b>Resolved</b></p> <p>(1) That the Treasury Management Mid-Year Review Report be noted.</p>

<b>Agenda Item No.</b>	<b>Agenda Item</b>	<b>Decision</b>
	<p>The meeting is recommended:</p> <p>1.1 To note the contents of this Treasury Management Mid-Year Review Report.</p>	
<p><b>10</b></p>	<p><b>Local Code of Corporate Governance</b></p> <p>Report of the Assistant Director of Law and Governance</p> <p><b>Purpose of report</b></p> <p>To review the Local Code of Corporate Governance. The code is part of the overall system of internal control at the Council and supports the provision of the Annual Governance Statement (AGS) which is approved annually by the Accounts, Audit and Risk Committee.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended:</p> <p>1.1 to approve the reviewed Local Code of Corporate Governance (Appendix 1).</p>	<p><b>Resolved</b></p> <p>(1) That the Local Code of Corporate Governance be agreed.</p>
<p><b>11</b></p>	<p><b>Financial Management Code - Forecast Self-Assessment Update</b></p> <p>Report of the Assistant Director of Finance S151</p> <p><b>Purpose of report</b></p> <p>To update the Committee that the results of the Council's forecast self-assessment position at February 2024 against the requirements of CIPFA's Financial Management Code is continued strong compliance, demonstrating a resilient and sustainable approach to managing the Council's funds.</p>	<p><b>Resolved</b></p> <p>(1) That the Council's forecast self-assessment position at February 2024 against the requirements of CIPFA's Financial Management Code be noted.</p>

<b>Agenda Item No.</b>	<b>Agenda Item</b>	<b>Decision</b>
	<p data-bbox="379 264 671 297"><b>Recommendations</b></p> <p data-bbox="379 344 852 378">The meeting is recommended to:</p> <p data-bbox="379 421 890 600">1.1 Note the Council's forecast self-assessment position at February 2024 against the requirements of CIPFA's Financial Management Code</p>	
<p data-bbox="252 701 292 734"><b>12</b></p>	<p data-bbox="379 701 651 734"><b>Work Programme</b></p> <p data-bbox="379 777 852 844">To consider and review the Work Programme.</p>	<p data-bbox="959 701 1102 734"><b>Resolved</b></p> <p data-bbox="959 777 1485 844">(1) That the Work Programme be noted.</p>